



THE ROCKEFELLER UNIVERSITY
Office of Technology Transfer
1230 York Avenue, Box-138, New York, NY 10065

To receive materials from an external entity or another RU laboratory, please complete this form and return by email to mta@rockefeller.edu. If you have received a Material Transfer Agreement (MTA) from the providing institution, please also include a copy when forwarding this form.

For questions, please contact the Office of Technology Transfer at mta@rockefeller.edu.

1. Date:

2. RU Head of Laboratory:

First Name:

Last Name:

3. Material Requested
and Brief Description
of Research to be
Conducted (please
include quantity of
Material, if known):

4. Providing Institution
Name:

a) Institution Type:

Academic

Company

b) Provider Scientist:

FirstName:

Last Name:

c) Provider Scientist
Email:

d) MTA Contact Name:
(if known)

e) MTA Contact Email:
(if known)

Please provide answers to the following questions. You may use additional sheets if you require more space for your answers. (**Note:** To avoid unnecessary delays, please ensure that all applicable questions are answered as completely as possible.)

General Questions:

- 1) Will you be modifying (creating a new substance that contains or incorporates) the Material?
 Yes No Unsure
 If "Yes", how?
- 2) Are you expecting or willing to receive confidential information with the Material?
 Yes No
- 3) Are you willing to provide publications to the providing party prior to publication under terms of confidentiality?
 Yes No
- 4) Are you willing to provide results of your research to the providing party?
 Yes No
- 5) Will the Material be used in conjunction with other materials from other parties?
 Yes No
 If "Yes", what are the other materials and who provided them? Were the materials received under an MTA?
- 6) Will the intended Research be funded by an external source?
 Yes No
 If "Yes", please specify the source:
- 7) Is the Material being used in the Research related to an RU Technology Disclosure/Patent?
 Yes No
 If "Yes", please indicate which Technology Disclosure/Patent:
- 8) Is the Material being transferred as a part of a collaborative project? Yes No
 If Yes, please describe the activities of the providing party:

For Biological Materials:

- 1) What is the original source of the Material (i.e. human/animal/plant)? For animals, please specify species.
- 2) Is the Material hazardous? Yes No

For Human Materials:

- 1) Is the Material of direct human origin? Yes No
 If "Yes", has the RU IRB been contacted? Yes No
 (Please provide the Protocol Number and IRB Approval or Exemption Letter.)
- 2) Will the Material be de-identified before shipping? Yes No
 If Yes, will they be de-identified or coded? De-identified Coded
- 3) Will the Material be accompanied by any data? Yes No
 If Yes, will the data be coded or anonymized? Coded Anonymized
- 4) Was the Material collected in Europe? Yes No